



DONOR QUESTIONNAIRE ON AID FOR TRADE

This questionnaire is intended to solicit information about the progress made since the 2008 self assessment. It focuses in particular on the outcomes of aid-for-trade strategies and programmes to further knowledge sharing among stakeholders.

For further details or additional forms please visit www.oecd.org/dac/aft/questionnaire or contact the secretariats of the OECD (aft.monitoring@oecd.org) or the WTO (aft.monitoring@wto.org).

COUNTRY: United Kingdom

A.	. YOUR AID-FOR-TRADE STRATEGY									
1.	HAS YOUR AID-F	OR-TRADE STR	ATEGY CH	AN	GED SINCE 20	008?				
	YES ☑ NO ☐ NOT SURE ☐ NOT APPLICABLE ☐							BLE		
1.1	.1 If YES, please rate the importance of each of the following changes?									
Greater focus on: MOST IMPORTANT LESS NOT IMPORTANT IMPORTANT IMPORTANT						NOT SURE				
• E	conomic growth		\boxtimes							
• P	overty reduction									
• C	limate change and g	reen growth				\boxtimes				
• G	ender equality				\boxtimes					
Regional integration										
Monitoring and evaluating results										
Differ	Different geographic focus									
							ade seeking cial focus nger te			
Differ	ent thematic focus				\boxtimes					
Please abov	e specify: The UK	has refined	its foc	us	across th	e thematio	c areas not	ed		
Phasi	ng out of aid for tra	de								

Other								
Please specify: The UK is not phas:	ing out ai	d for trad	e.					
1.2 If YES, please rate the importance	e of the follow	ving driving fo	rces behind t	hese changes:				
	MOST IMPORTANT	IMPORTANT	LESS IMPORTANT	NOT IMPORTANT	NOT SURE			
The economic crisis	conomic crisis							
Changed priorities in the development strategies of partner countries								
Changed priorities in the development strategies of regional bodies								
Change of national government								
Changes in bilateral trade and investment relations								
Changed priorities in your development cooperation								
New research, approaches, or aid instruments								
More focus on triangular co-operation								
Other								
the aid for trade targets and the Millenium Development Good that aid for trade is as effective trade is as effective to the seffective trade is as effective to the seffective trade and feedback of the seffective trade and feedback of the seffective trade and seffective trade to the seffective trade and seffective trade to the seffective trade trade to the seffective trade trade trade trade to the seffective trade tr	Other Dease specify: The economic crisis and wider contextual issues such as meeting the aid for trade targets and moving closer to 2015 target date to achieve the Millenium Development Goals has driven a stronger focus on ensuring that aid for trade is as effective and efficient as possible; it must deliver real change for partner countries and regions. The UK takes account of the latest research findings on the comparative effectiveness of different types of aid for trade support, and also informal research and feedback from country partners about emerging needs							
view to driving an African ed	conomic cor	mmunity.						
2. LOOKING AHEAD TO 2013. IS YO	OLIB COVERN	MENT DI ANN	IING VNA CH	ANGES TO IT				
2. LOOKING AHEAD TO 2013, IS YO AID-FOR-TRADE STRATEGY?	JOR GOVERN	IVIENI PLANI	VING ANT CH	ANGES TO II				
YES NO 🗵		NOT SURE		NOT APPLICA	ABLE			
2.1 If YES, please rate the importance		es your gover		_				
Greater focus on:	MOST IMPORTANT	IMPORTANT	LESS IMPORTANT	NOT IMPORTANT	NOT SURE			

Economic growth								
Poverty reduction								
Climate change and green growth								
Gender equality								
Regional integration								
Monitoring and evaluating results								
Different geographic focus								
Please specify: The broad direction and priorities mentioned above have been driven since 2010 and expect to remain in force over the next 4 years. The precise picture of how DFID will implement these priorities will not be available until approval processes are completed in March 2011. Adjustments will be made in the coming years based on ongoing monitoring, evaluation, research findings, the outcome of pilot initiatives and dialogue with key partners.								
Different thematic focus								
Please specify:								
Phasing out of aid for trade								
Other								
Please specify:	<u> </u>	'						
B. YOUR AID-FOR-TRADE FINAN								
DEMAND								
3. HAS THE DEMAND FOR AID FOR TRADE FROM YOUR PARTNER COUNTRIES CHANGED SINCE 2008?								
SIGNIFICANTLY INCREASED INCREASED LITTLE/NO CHANGE DECLINED NOT SURE								
aid for trade: The overall der clearer articulation of needs from an discussed and agreed new UK suppo	SIGNIFICANTLY INCREASED INCREASED LITTLE/NO CHANGE DECLINED NOT SURE If the demand increased, please describe from which countries and for which type of aid for trade: The overall demand for aid for trade appears to have increased and there is clearer articulation of needs from and differences between partners. Caribbean partners have discussed and agreed new UK supported programmes, particularly in the light of the EPA signed in 2008. With India, we have agreed not to extend our programme to support India's trade capacity							

4. HAS THE DEMAND FOR AID FOR TRADE FOR REGIONAL INTEGRATION PROGRAMMES CHANGED SINCE 2008?

programmes that we support. This includes the EIF, the World Bank's Trade Facilitation Facility and the World Bank run Multi Donor Trust Fund - which provides targeted policy and technical support in

wide number of LDCs and LICs have demanded more flexible support to build capacity for negotiations. A wide range of countries are also accessing assistance through multilateral

response to country and regional demands.

SIGNIFICANTLY INCREASED	INCREASED	□ LITTL	.E/NO CHANGE	DEC	LINED	NOT SURE	
4.1 If the demand increased, please describe from which regions and for which type of aid for trade: We have scaled up our support for African regional integration with the development of the UK's African Free Trade initiative - which encompasses political support, investment and technical assistance in support of African ambition in this area. In terms of aid for trade this involves the development of a flexible programme to support west African regional integration efforts and flagship Trademark programmes in southern and eastern Africa focused on support at the country and REC level. Significant investments are being made to reduce transit times of goods and people across three African trade corridors by investing in trade facilitation initiatives such as one stop border posts. Technical assistance is also being provided to the COMESA-EAC-SADC Tripartite Initiative for establishing an inter-REC Grand free trade agreement, improved trade facilitation and upgraded trade-related infrastructure Assistance in the Caribbean responds to the Caribbean's efforts to develop a CARICOM Single Market Economy and tofully implement and make the most out of trade opportunities afforded by the CARIFORUM - EU EPA. Assistance covers trade facilitation, trade policy implementation and programmes to support competitiveness New efforts in South Asia are designed to support private sector demand and efforts to identify and promote opportunities for regional trade across the region, including by helping to leverage wider donor resources for these purposes . Assistance currently facilitates dialogue designed to identify, analyse and propose solutions for unblocking regional trade constraints and capitalising on regional trade opportunities.							
RESOURCES							
NESO ONCES						''	
5. HAVE YOUR AID-FOR-	-TRADE RES	OURCES IN	CREASED SII	NCE 2008?			
	-TRADE RES	OURCES IN	CREASED SII	NCE 2008?	NOT SURI	E 🗆	
5. HAVE YOUR AID-FOR-	-TRADE RES	_	CREASED SII	NCE 2008?	NOT SURI	E 🗆	
5. HAVE YOUR AID-FOR-		NO []			E	
5. HAVE YOUR AID-FOR-		NO [WARD SPEN				
5. HAVE YOUR AID-FOR- YES 6. DOES YOUR AGENCY	HAVE INDIC	NO CATIVE FOR	WARD SPEN	IDING PLAN	IS?		
5. HAVE YOUR AID-FOR- YES 6. DOES YOUR AGENCY YES	HAVE INDIC	NO CATIVE FOR	WARD SPEN	IDING PLAN	IS?		
5. HAVE YOUR AID-FOR- YES 6. DOES YOUR AGENCY YES 6.1. If YES, do these forward	rd spending these estimatever, we are	NO CATIVE FOR NO Cates: The UKe committee	WARD SPEN e estimates ; ''s forward spending	for aid for t pending pla	NOT SURI rade? NOT SURI ns will not b	E E are available ly as part of	
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8. IS THIS AN IMPROVE	MENT COMPA	RED TO 2008?						
	SIGNIFICANT	MODERATE	LITTLE,	/NONE	NOT SURI	E	NOT APPLICABLE	
With partner countries] 🗆			
With regional communities		\boxtimes						
9. IS THE PRIVATE SECT	OR INVOLVED	IN YOUR DIAL	OGUE?					
	ALWAYS	SOMETI	MES	RARE	LY/NEVER		NOT SURE	
With partner countries								
With regional communities								
9.1 Please describe and proprivate sector: The UK has supported engage different channels -from dediconsultation during program noted below. However, going private sector partners in bocreation of DFID's new Private for trade workstream dedicate Examples: In Southern and Eastern Africal different ways: e.g. to delive monitor traffic along the traffederation of Eastern and Southern and Federative group environment for improving results business opportunities. We have recently launched a promote regional trade in Southentify quick wins in the area opportunities.) We support the £10m CARTE Fund designed to provide pur CARIFORUM-EU EPA and the trade opportunities. Nearly fentities, so the development private sector partners (main	gement with the licated program me planning, rig forward, we at identifying at te Sector Departed to enhancing and trade-related insport corridors outhern Africa Riginal Development in the sector of the policant and trade, and initiative with the public and a cea of trade pronout and programmatic control of the public and a cea of trade pronout and programmatic control of the public and a cea of trade pronout and programmatic control of the public and a cea of trade pronout and programmatic control of the public and programmatic control of the public and and implement a	e private sector me componen monitoring and im to become nd helping to stment will control of the sector of the se	to on aid j ts to sup l evalua more st olve tra tribute to ment wi ment wi ment wi through border p ers Asso h Asia to evement of known port pu support resenat ntification e Caribb rganisa nomy an to date pitiative	for trade poport for trade poport for trade poport for the poport for the population of the population	e issues thra r private se few current in the way belopment chaim as well orivate sector and freight ewith region to the bust sector is of meetin least 30% fecific investivations on support of polved engagers.	ough ctor of exar we en aller as a s or. prive enerstic forw onal forw onal dialo gs in emal treen bank, ing to the private geme	a a variety of advocacy, to imples are ingage inges. The specific aid attended sector in thips; and to on with the varders. business is use regional and to implement to insure the implement to it.	
10. IS CIVIL SOCIETY INVO	OLVED IN YOU	R DIALOGUE?						
	ALWAYS	SOMETI	MES	RARE	LY/NEVER		NOT SURE	
With partner countries								
With regional communities								

10.1 Please describe and provide examples of your experience in dialogues that involve civil society: As with the private sector above, the UK engages with civil society groups through a variety of channels, including programme design, implementation and montioring/ evaluation (see IFC project above). We have supported a range of non government actors to provide information, analysis and updates on trade issues and negotiations for public, private and civil society actors. These partners have also organised and facilitated a range of dialogues with civil society groups, designed to build understanding of trade issues and inform national and regional trade policies.

11. ARE YOU HAD WERE BEFOR			TRATE	GY WITI	H OTHE	R DOI	NORS BET	TER NO	W THAN Y	OU
SIGNIFICANTLY	MODE	RATELY 🛛	RAREL	Y/NEVER		NO ⁻	T SURE	NO	APPLICABL	E 🔲
11.1 If you are har	monising	g better, how	often (do you ι	ise the j	follow	ing appro	aches?		
		ALWAYS	5	SOME	ETIMES		RARELY/NE	/ER	NOT SURE	
Joint needs assessment					\boxtimes					
Co-financing					\boxtimes					
Sector-wide approach	nes				\boxtimes					
Joint implementation										
Common monitoring										
Joint evaluation										
Other					\boxtimes					
Support for major aid harmonised with cofi monitoring and evalu CDB, IDB, AsDB) can a A range of DFID spons other partners. A key financed with 3 other In other cases, the Uk example, we are takin programme can optin	Please specify: A major plank of our approach to aid for trade is to work through and with other partners. Support for major aid for trade programmes through the World Bank, ITC, EIF ensure that our support is harmonised with cofinancing partners - in terms of needs assessments, programme implementation and monitoring and evaluation. Delivery of aid for trade programmes through regional development banks (e.g. CDB, IDB, AsDB) can also help streamline processes for recipient countries. A range of DFID sponsored programmes have also been developed with or become open to confinacing with other partners. A key example is the TradeMark East Africa Programme - launched February 2011 and jointly financed with 3 other bilateral partners. In other cases, the UK takes proactive steps to enhance coordination at the policy and programme level. For example, we are taking forward dialogue to determine how DFID's established TradeMark Southern Africa programme can optimise coordination with EIF efforts in the southern African region. We also participate in donor- country or REC trade groups that meet to discuss trade needs.									
12. HAS ALIGNM	ENT OF	YOUR AID-F	OR-TRA	ADE PRO	OGRAM	IME II	MPROVED	SINCE 2	008?	
			SIGNI	FICANT	MODE	RATE	LITTLE/ NONE	NOT SURE	NOT APPLICA	
With partner country	priorities	S		\boxtimes]				
With the Enhanced in	tegrated	Framework		\boxtimes]				
With regional prioritie	es			\boxtimes]				

Please elaborate with examples: The UK has provided significant support to the EIF itself since 2009 - both financial and technical support that should enable the EIF to help LDC partners develop the institutional infrastructure to coordinate wider aid for trade initiatives in country. Where we have aid for trade programmes in LDC countries we aim to align these initiatives around trade priorities identified in DTIS Action Matrices

The expansion of the UK's regional aid for trade support is in direct response to evolving demand by regional partners. The UK's African Free Trade initiative responds to African efforts to implement the 1991 Abuja Treaty and specifically supports policy initiatives and programmes of the Tripartite Task Force. Our programme in the Caribbean directly responds to the Caribbean's efforts to implement the CARICOM Single Market Economy and to implement and capitalise on the EPA.

Country based programmes are prepared in consultation with national authorities and wider programmes of assistance in development, for example - on advocacy, are being developed following active consultation with national interlocutors.

12.1. How many of your aid-for-trade programmes are aligned around trade priorities of?

	> 75%	75% - 50%	50% - 25%	< 25%	NOT SURE	NOT APPLICABLE
Partner countries' development strategies						
The DTIS Action Matrix (for LDCs)						
Regional organisations development strategies						

13. HAS THE MONITORING OF YOUR AID-FOR-TRADE PROGRAMMES IMPROVED SINCE 2008?								
SIGNIFICANTLY	MODERATELY 🛛	RAF	RELY/NEVER	NOT SURE				
13.1 If there have been improvements, how often do you:								
ALWAYS SOMETIMES RARELY/NEVER NOT SU								
Use your own monitoring			\boxtimes					
Rely on partner countries' monitoring processes								
Use joint monitoring arrar	igements		\boxtimes					

13.2 Please provide examples and describe your experience with monitoring your aid-for-trade programmes: We use different monitoring arrangements depending on the structure of the programme - e.g. whether it is solely DFID sponsored or cofinanced with others. We are developing better monitoring systems in order to provide clearer information on both the results we're targetting and the contribution of each of our initiatives to these results. This involves building and applying skills and know-how - e.g. on assessing the gender or poverty impact of programmes and using creative mechanisms to track progress - such as surveying truckers' experiences along key transport routes. On evaluation, we have previously contracted independent evaluations for programmes sponsored in countries and with multilateral partners and going forward are committed to independently evaluating UK aid programmes under the recently established Independent Commission for Aid Impact. We expect our monitoring and evaluation capacity to increase significantly over the next few years.

D. IS YOUR AID FOR TRADE WORKING?

14. DOES YOUR AID-FOR-TRADE STRATEGY DEFINE CLEAR OBJECTIVES?

YES 🔀]	NO [NOT	SURE		NOT A	PPLICABLE
14.1 If YES, w	hat are the obje	ctives of	your aid	l-for-trad	le stro	ategy?			
			MC IMPOF		IMP	PORTANT	LESS IMPORT		NOT IMPORTANT
Enhanced understanding of the role of trade in economic development (awareness)		Þ							
Increased trade profile (mainstreaming)			D						
Larger aid-for-tr	rade flows					\boxtimes			
Increased expor	rts		D						
Increased trade			D						
Export diversific	cation		D						
Increased econd	omic growth		D						
Reduced povert	ту		D						
Greater environmental sustainability					\boxtimes				
Greater gender equality									
Other			D						
regional partner supporting trad and building cor delivery against However, given research on mo knowledge on t	Please specify: The UK's aid for trade is necessarily tailored towards the specific needs of country and regional partners so is not tightly controlled by a rigid strategy although the over-arching prioririties of supporting trade facilitation, regional integratio, trade capacity development (including negotiating capacity) and building competitiveness have been established. The stronger results focus within these areas supports delivery against some of the quantifiable objectives noted above - e.g. increase in exports and diversification. However, given the different capacity needs of many countries and regions and the value of investing in research on more detailed and emerging trade issues, the UK continues to support efforts in building knowledge on the role of trade and capacity in how to develop and articulate trade policies and trade development and negotiating strategies.								
	S THE SHARE OF IFIABLE OBJECTI		AID FOR	TRADE P	PROG	RAMMES	THAT CO	NTAIN	I
			25% 🔲	< 25%	6 🗌	NOT S	URE 🗌	NOT	APPLICABLE
16. HAS YO OR PRO	UR GOVERNMEI JECTS?	NT EVAL	UATED I	ITS AID-F	OR-T	RADE STF	RATEGY, F	PROGR	RAMMES
				YES		N	0		NOT SURE
Overall strategy									
Programmes an	d projects			\boxtimes					
Both				Г					

16.1 If VES please provide a convert to								
16.1 If YES, please provide a copy of the(se) evaluation(s) when submitting this questionnaire.								
16.2 If NO, is your government planning	16.2 If NO, is your government planning an evaluation of its:							
	YES		N	0		NOT SURE		
Overall strategy								
Programmes and projects	ts 🖂 🗆							
Both								
16.3 If YES, for which year is the evaluation planned?								
	2010		2011	2012		2013		
Overall strategy								
Programmes and projects						\boxtimes		
Both								
17. PLEASE RATE THE IMPORTANCE OF THE FOLLOWING CHALLENGES IN EVALUATING YOUR AID-FOR-TRADE STRATEGY, PROGRAMMES AND PROJECTS:								
	MOST IMPORTANT							
Difficulty in identifying quantifiable				IIVIPORTA	.NT	IMPORTANT		
objectives					NT			
Difficulty in obtaining in-country data					NT			
	_				.NT	IMPORTANT		
Difficulty in obtaining in-country data	_				.NT	IMPORTANT		
Difficulty in obtaining in-country data Absence of suitable indicators	_				NT	IMPORTANT		
Difficulty in obtaining in-country data Absence of suitable indicators Budgetary constraints Ability of in-country staff to collect and	_				NT	IMPORTANT		
Difficulty in obtaining in-country data Absence of suitable indicators Budgetary constraints Ability of in-country staff to collect and report data Ability of project partners to collect and	_				NT	IMPORTANT		
Difficulty in obtaining in-country data Absence of suitable indicators Budgetary constraints Ability of in-country staff to collect and report data Ability of project partners to collect and report data Difficulty of assigning trade outcomes to					NT	IMPORTANT		

18. ARE THERE ANY PARTICULAR EXAMPLES OF YOUR AID-FOR-TRADE PROCESSES, PROGRAMMES OR PROJECTS THAT HAVE OBTAINED GOOD RESULTS THAT YOU THINK COULD CONTRIBUTE TO THE DEVELOPMENT OF GOOD PRACTICES?

Please list and describe:

SUPPORT TO THE LDC SECRETARIAT

A RANGE OF INITIATIVES TO SUPPORT REGIONAL INTEGRATION THROUGH TRADE FACILITATION, INCLUDING THROUGH DFID'S TRADEMARK'S PROGRAMMES. TRADEMARK SOUTHERN AFRICA HAS SUPPORTED THE COMESA-EAC-SADC TRIPARTITE TO DEVELOP A COMPREHENSIVE TRADE FACILITATION PROGRAMME WHICH WILL BE PILOTED ALONG THE TRIPARTITE AID-FOR-TRADE PILOT PROGRAMME, THE NORTH-SOUTH CORRIDOR. SPECIFIC SUPPORT IS PROVIDED TO IMPROVE BORDER CROSSING EFFICIENCY AT 10 BORDER POSTS IN EAST AND SOUTHERN AFRICA. SUPPORT TO FAIR TRADE

SUPPORT TO THE CARIBBEAN REGIONAL NEGOTIATING MACHINERY TO ENGAGE IN EPA NEGOTIATIONS

DEVELOPMENT OF A RESPONSIVE, DEMAND-LED AND REGIONALLY-OWNED PROGRAMME (CARTFUND) TO SUPPORT CARIBBEAN IMPLEMENTATION OF REGIONAL INTEGRATION AND THE ECONOMIC PARTNERSHIP AGREEMENT WITH THE EU.

SUPPORT (THROUGH UNCTAD) TO ASSIST THE DEVELOPMENT OF INDIA'S TRADE POLICY CAPACITY

WE OR OUR PARTNERS ARE PROVIDING FURTHER DETAILS ON A RANGE OF THESE PROGRAMMES IN RESPONSE TO THE WTO/OECD'S CALL FOR CASE STUDIES.

19. DOES YOUR GOVERNMENT CONSIDER IT USEFUL TO MONITOR AID FOR TRADE AT THE GLOBAL LEVEL?							
VERY USEFUL	USEFUL 🖂	NOT USEFUL	NOT SURE				

20. WHAT DO YOU SEE AS MAJOR CHALLENGES OR AREAS FOR IMPROVEMENT IN MONITORING AID FOR TRADE AT THE GLOBAL LEVEL?

Please describe and provide examples: AT THE MOST BASIC LEVEL - DRIVING A STRONGER RESULTS ORIENTED FOCUS. IT WOULD BE HELPFUL TO HAVE MORE PROGRAMMES MORE CONSISTENTLY DESIGNED TO DELIVER SPECIFIC, QUANTIFIABLE OUTPUTS (EG. REDUCING TRANSIT TIMES, STREAMLINING CUSTOMS PROCEDURES) AND OUTCOMES (E.G. INCREASE IN TRADE VOLUME FLOWS ETC..) - AND EXPLICIT MONITORING AND EVALUATION AGAINST THESE TARGETS. PROCESS ORIENTED PROGRAMMES MAY STILL BE JUSTIFIABLE - BUT WITH EXPLICIT, QUANTIFIABLE AND SOME QUALITATIVE TARGETS - E.G. CLARIFYING EXACTLY WHAT ENHANCED TRADE POLICY CAPACITY OR STRENGTHENED INSTITUTIONAL FRAMEWORKS WILL MEAN IN PRACTICE FOR THE RECIPIENTS.

THERE IS A WIDER QUESTION ABOUT HOW ROBUST ANY SYSTEM TO MONITOR AID FOR TRADE REALLY IS GIVEN THE NUMBERS OF EXTERNAL VARIABLES INVOLVED THAT AFFECT, E.G. TRADE CAPACITY DEVELOPMENT. THERE IS ALSO A PAUCITY OF EVIDENCE ABOUT WHETHER AND HOW AID FOR TRADE HAS MUCH IMPACT ON POVERTY REDUCTION - PARTLY BECAUSE THERE IS INSUFFICIENT EFFORT TO LINK AID FOR TRADE PROGRAMMES TO REDUCING POVERTY OR BENEFITTING MARGINALISED GROUPS - AND ALSO PROBABLY BECAUSE IT WOULD FURTHER COMPLICATE AN ALREADY DIFFICULT MONITORING CHALLENGE.

FINALLY, GLOBAL MONITORING RELIES MAINLY ON SUBJECTIVE ASSESSMENTS. IT MIGHT BE USEFUL TO COMPLEMENT THESE WITH TARGETED, OBJECTIVE REVIEWS ON SPECIFIC ISSUES THAT PRACTITIONERS TALK ABOUT BUT HAVE TROUBLE COLLECTIVELY DEALING WITH - E.G. EXCESS OF POORLY COORDINATED SUPPORT IN CERTAIN BORDER POSTS. - EVEN IF THESE ARE ONE-OFF CASE STUDIES.